



U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL AVIATION ADMINISTRATION
National Policy

ORDER
2150.3B

Effective Date:
10/01/07

SUBJ: FAA Compliance and Enforcement Program

This order contains policies, procedures, and guidelines for the Federal Aviation Administration's compliance and enforcement program. The order also articulates the FAA's philosophy for using various remedies, including education, corrective action, informal action, remedial training, administrative action, and legal enforcement action, to address noncompliance with statutory and regulatory requirements enforced by the FAA. It provides for the public a written statement of the Administrator's policy guidance for imposing sanctions for violations of such requirements.

The order is used at all levels by agency personnel who are engaged in the investigation, reporting, and processing of enforcement actions. It applies to all offices with regulatory responsibilities.

This revision of the order comprehensively updates policies, procedures, guidance, and assignments of responsibility. It reorganizes the order in a manner more useful for agency personnel and is available electronically to agency personnel and the public. The revision amends agency sanction guidance to conform to statutory changes resulting from Vision 100 Century of Aviation Reauthorization Act and incorporates guidance into the agency's compliance and enforcement program order on policies and programs that have developed since the last comprehensive order revision in 1988. Those include policies relating to the FAA's exercise of its authority to administratively assess civil penalties and guidance on the agency's voluntary safety programs.

A workgroup of agency personnel from the field and headquarters with extensive experience in statutory and regulatory enforcement reviewed the agency's policies and programs in this area to produce this comprehensive revision of the agency order on its compliance and enforcement program.

A handwritten signature in black ink, appearing to read "Robert A. Sturgell".

Robert A. Sturgell
Acting Administrator

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safety belt, and, if installed, shoulder harness properly secured during movement on the surface, takeoff, or landing		
(6) Operating a portable electronic device	Maximum	
(7) Drinking alcoholic beverage not served by carrier	Maximum	

4. DUI/DWI PROGRAM, FALSIFICATION OF DRUG CONVICTIONS AND AIRMAN MEDICAL CERTIFICATE VIOLATIONS

Fig. B-4-a. Sanctions related to DUI/DWI Program	Civil Penalty	Certificate Action
(1) Intentionally false or fraudulent entry on medical certificate application regarding a DUI conviction or administrative action under 14 C.F.R. § 67.403(a)(1)		Revocation of all airman and medical certificates
(2) Making an incorrect statement on a medical certificate application regarding a DUI conviction or administrative action under 14 C.F.R. § 67.403(c)(1)		Indefinite Suspension (pending correction of application and determination of qualification) or Revocation of medical certificate
(3) Failure to report a motor vehicle action (MVA) under 14 C.F.R. § 61.15(e)		15- to 45-day Suspension of all part 61 certificates
(4) Two MVAs arising from separate incidents within 3 years, and reported both under 14 C.F.R. § 61.15(d)		90- to 120-day Suspension of all part 61 certificates
(5) Three MVAs arising from separate incidents within 3 years under 14 C.F.R. § 61.15(d)		Revocation of all part 61 certificates

Fig. B-4-b. Airman Medical Certificate Violations	Civil Penalty	Certificate Action
(1) Intentionally false or fraudulent statement on an application for a medical certificate or on a request for any special issuance or SODA under 14 C.F.R. § 67.403(a)(1)		Revocation of all airman and medical certificates
(2) Intentionally false or fraudulent entry in a record that is kept, made or used to show compliance under 14 C.F.R. § 67.403(a)(2)		Revocation of all airman and medical certificates
(3) Reproduction of a medical certificate for fraudulent purpose under 14 C.F.R. § 67.403(a)(3)		Revocation of all airman and medical certificates
(4) Alteration of medical certificate under 14 C.F.R. § 67.403(a)(4)		Revocation of all airman and medical certificates
Fig. B-4-b. Airman Medical Certificate Violations cont.	Civil Penalty	Certificate Action